

Search Cooperation EPO –LRPV : the impact for the Latvian Applicant

Jean-François Lebesnerais Lawyer European and International Legal Affairs EPO 17-18 October 2016





Working agreement between the EPO and the Patent Office of the Republic of Latvia.

- Subcontracting of search activities of prior art
- EPC does not apply
- However full harmonisation on the substantive patent law matter in the EPC and LV law (novelty /inventive step / disclosure of the invention)
- Latvian Patent Law: decision of the director of LRPV



Filing of the Latvian application / requesting search report

- Advantage : applicants can manage their patent risks at a early stage by :
- withdraw the application if a patent seems unlikely
- evaluate the chance to obtain a European Patent
- amend the application before filing a subsequent European or PCT application (PCT direct)



Importance of the quality of the first application

- Application prepared preferably by an European/National patent attorney
- Claims should be clear and concise
- Translation into English shall be prepared by a translator, avoid machine translation,
- Unity of invention: if any doubt, filing of several national applications



The (national) search report prepared by the EPO

- Results of the search report accompanied by a written opinion received 9 months after filing date (still 3 months before the end of the priority year)
- In case of complains or questions : contact the LRPV , not the EPO
- Analyse carefully the results of the SR for a subsequent second filing (EP or PCT).



Preparation of the subsequent EP or PCT application

- Amendment of the claims
- Introduction of the closest prior art found in the SR
- Sometime change the presentation of the application : new problem/solution
- Adding of the last improvements of the invention
- Claiming the priority of one or more national applications



Preparation of the subsequent EP or PCT application

- Filing of the application in Latvian + translation English
- Reduction of the filing fee (EP) and examination fee (EP+PCT):

30% reduction for SMEs, natural persons, non-profit organisations, Universities and public research organisations.

 Compensation of the translation costs when requesting after grant the effect of a unitary patent (500 EUR)



Refund of the search fee (EP or PCT)

- Full or partial refund of the search fee
- Notice from the European Patent Office dated 9 January 2009 concerning the criteria for refund of the search fees:
 OJ EPO 2009, 99.
- Decision of the President of the European Patent Office dated 21
 February 2014 concerning the refund of search fees under Article 9(2)
 RFees: OJ EPO March 2014, A30
- Decision of the President of the European Patent Office dated 21 February 2014 concerning the refund of search fees by the EPO acting as ISA: OJ EPO March 2014, A29



REFUND SYSTEM OF THE SEARCH FEE AS OF 01.04.2016 Search report already carried out at a national stage by the EPO

1st filing a	1st filing at the LRPV				
<u>without a search repo</u>	with a search report prepared by the EPO				
No search fee paid by the applicant		Search fee by the applicant at LRPV: 670,75 EUR SMEs, Universities, Natural Persons, Research institutes [Search fee paid by LRPV to the EPO: 2 683 EUR] (support Cooperation EPO 75% 2012.25 EUR) SR+ WOISA			
2d filing	2d filing	2d filing		2d filing	
PCT application	Euro direct application ISA = EPO	PCT application ISA = EPO		Euro direct application ISA = EPO	
PCT search fee 1 875 EUR SR+ WOISA			1 875 EUR		1 300 EUR ESR
		Refund 100%	1 875 EUR	refund 84% amount finally paid	1 092 EUR 208 EUR
EURO-PCT		EURO-PCT			
no supplementary search		no supplementary s	search		
Examination fee 1 825 EUR without EESR	Examination fee 1 635 EUR without EESR	Examination fee without EESR	1 825 EUR	Examination fee with EESR	1 635 EUR
Fees paid by the applicant (search + examination) 3 700 EUR	Fees paid by the applicant (search + examination) 2 935 EUR	Fees paid by the applicant (search + examination) 1 825+671 = 2 496 EUR Difference 1200 EUR , -32%		Fees paid by the applicant (search + examination) 1 843+671 =2 514 EUR Difference 420 EUR, -15%	



Impact of the search on national stage / European Patent Grant

 using the results of the search on the national stage enables to speed the EP grant procedure

	Grants	Non direct grants	Direct Grants	% of Direct Grants
FR	9005	5203	3802	42%
CH	2676	1721	955	36%
DE	29061	18730	10331	36%
GB	6505	5144	1361	21%
KR	1510	1249	261	17%
JP	23331	19669	3662	16%
US	34808	30101	4707	14%
AU	697	614	83	12%



Thank you for your attention

Jean-François Lebesnerais
European and International Legal Affairs, PCT
European Patent Office, Munich

www.epo.org

